# **RHODE ISLAND ETHICS COMMISSION**

## Advisory Opinion No. 2025-25

Approved: April 8, 2025

#### Re: Faith A. LaSalle

#### **QUESTION PRESENTED:**

The Petitioner, a member of the Bonnet Shores Fire District Council, a quasi-municipal elected position, who is also the chairperson of the Bonnet Shores Charter Revision Committee, a quasi-municipal appointed position, seeks guidance from the Ethics Commission regarding whether she may participate in fire district council discussions and decision-making relating to proposed amendments to the charter and its definition of who is eligible to vote in the district, given that she participated in the charter committee's discussions and recommendations to the fire district council regarding potential changes to the voting franchise.

#### **<u>RESPONSE</u>**:

It is the opinion of the Rhode Island Ethics Commission that the Petitioner, a member of the Bonnet Shores Fire District Council, a quasi-municipal elected position, who is also the chairperson of the Bonnet Shores Charter Revision Committee, a quasi-municipal appointed position, may participate in fire district council discussions and decision-making relating to proposed amendments to the charter and its definition of who is eligible to vote in the district, notwithstanding that she participated in the charter committee's discussions and recommendations to the fire district council regarding potential changes to the voting franchise.

The Petitioner is a member of the Bonnet Shores Fire District Council (council or fire district council), having been elected to that position in August 2024. She explains that the council governs the Bonnet Shores Fire District (BSFD) and consists of seven members who are ordinarily elected to a three-year term<sup>1</sup> at the BSFD's annual meeting. The Petitioner represents that the scope of her duties consists of the general supervision and

<sup>&</sup>lt;sup>1</sup> The Petitioner explains that, although council members are ordinarily elected to threeyear terms, those elected during the last election in 2024 are serving staggered terms of one, two, or three years.

management of BSFD affairs, including the development and recommendation of charter changes to the Rhode Island General Assembly.

The Petitioner states that the BSFD is a quasi-municipal agency that was incorporated by an Act of the General Assembly in 1932. Although the BSFD originally provided fire protection services for its summer residents, it no longer offers such services.<sup>2</sup> The BSFD is located in the Town of Narragansett and offers recreational opportunities revolving around its two scenic beaches, mooring area, and community center.<sup>3</sup> The BSFD has been granted taxation authority for various purposes.<sup>4</sup>

The Petitioner explains that there have been multiple legal actions brought against the BSFD relative to the interpretation of the BSFD charter and its definition of who is eligible to vote in BSFD elections. The initial lawsuit challenged both the exclusion from the voting rolls of non-property-owning residents (renters) and the inclusion in the voting rolls of Bonnet Shores Beach Club<sup>5</sup> condominium owners. The aforementioned lawsuit concluded in 2022 with a Superior Court Decision and Consent Judgment that expanded the voting franchise to include all permanent BSFD residents, including non-property-owning renters. While the Decision and Consent Judgment did not order any other changes to the voting franchise, such as removing the voting rights of non-resident property owners (which would include beach club condominium owners), the BSFD was ordered to create a charter committee to propose amendments to the BSFD charter relating to the voting franchise.

The Petitioner represents that in December 2024, she was appointed by the council to the Bonnet Shores Charter Revision Committee (charter committee), and currently serves as its chairperson. The Petitioner states that the charter committee, like all of the other committees appointed by the council, is strictly advisory in nature and was established to consider and make recommendations to the council on potential changes to the voting franchise in the BSFD. She further states that the charter committee consists of five

<sup>3</sup> <u>Id.</u>

<sup>4</sup> <u>Id.</u>

<sup>5</sup> The beach club is a private condominium association located in the BSFD community and consists of 930 privately owned condominium units broken down as follows: 2 deluxe live-in units; 4 live-in units; 285 cabanas; 26 double bathhouse units; 206 mini-double bathhouse units; and 407 bathhouse units. <u>See https://www.bonnetshoresbeachclub.com/</u> (last visited April 2, 2025). These units are available for seasonal use, the majority of them are non-residential bathhouses, and none of them are year-round residences. All beach club unit owners are considered to be "property owners" within the BSFD, and are therefore both tax-paying and voting members of the BSFD under the BSFD charter.

<sup>&</sup>lt;sup>2</sup> <u>See https://bonnetshores.org/about/</u> (last visited April 2, 2025).

members, including: a beach club representative; a council member who is also a permanent resident and homeowner in the BSFD; a council member who is a non-resident homeowner in the BSFD; a permanent resident homeowner in the BSFD; and a designated representative of the plaintiffs in the prior voting rights lawsuits (plaintiff representative).

The Petitioner states that the charter committee conducted six meetings<sup>6</sup> and ultimately forwarded four proposals to the council suggesting different options for the composition of the fire district's voting franchise. Those proposals, identified as Scenarios 1, 3, 4, and 5, are as follows:<sup>7</sup>

- Scenario 1: Current state BSFD Narragansett registered voters (permanent residents), and all property owners who are currently eligible to vote under the existing charter (including non-resident property owners such as beach club condominium owners).
- Scenario 3: BSFD Narragansett registered voters, non-resident property owners, and one person designated per unit from the beach club as a voter (taxpayer).
- Scenario 4: BSFD Narragansett registered voters, BSFD residents and non-resident property owners, no eligibility to vote from beach club, and appoint a beach club seat as one of the seven seats on the BSFD council.
- Scenario 5: BSFD balanced/weighted voting proposal-Narragansett registered voters, people on deed of residential property, one beach club owner per unit who is not part of the other stakeholders. Beach club votes capped at 11%.

The Petitioner describes the charter amendment process as follows: The charter committee forwards its recommendations to the BSFD council. The BSFD council then reviews the recommendations and, if it votes to endorse one or more proposed charter amendments, may vote to adopt a resolution asking the General Assembly to enact enabling legislation authorizing the BSFD to hold an election to allow the district's voters to decide whether to adopt or reject the proposed charter amendments.

<sup>&</sup>lt;sup>6</sup> The Petitioner informs that the designated plaintiff representative seat on the charter committee remained empty at all meetings.

<sup>&</sup>lt;sup>7</sup> The Petitioner notes that there were originally more scenarios considered by the charter committee, but that they were eliminated earlier in the process, including Scenario 2.

The Petitioner represents that she owns two homes in the fire district. One of the homes is her primary residence where she lives with her spouse, and the other she jointly owns with her brother. The Petitioner further represents that she, her spouse, and her brother do not own property in the beach club. Given this set of facts, the Petitioner seeks guidance from the Ethics Commission regarding whether she may participate in council discussions and decision-making relative to the proposed amendments to the charter and its definition of who is eligible to vote, given that she participated in the charter committee's discussions and recommendations to the council regarding potential changes to the voting franchise.

Under the Code of Ethics, a public official may not participate in any matter in which she has an interest, financial or otherwise, that is in substantial conflict with the proper discharge of her duties in the public interest. R.I. Gen. Laws § 36-14-5(a). A substantial conflict of interest exists if a public official has reason to believe or expect that she, any person within her family, her business associate, or a business by which she is employed or which she represents will derive a direct monetary gain or suffer a direct monetary loss by reason of her official activity. R.I. Gen. Laws § 36-14-7(a). A public official has reason to believe or expect that a conflict of interest exists when it is "reasonably foreseeable," meaning that the probability of the conflict of interest is greater than "conceivably," but the conflict of interest need not be certain to occur. 520-RICR-00-00-1.1.5 Reasonable Foreseeability (36-14-7001). Additionally, the Code of Ethics prohibits a public official from using her public office, or confidential information received through her public office, to obtain financial gain for herself, any person within her family, her business associate, or any business by which she is employed or which she represents. § 36-14-5(d). Finally, under 520-RICR-00-00-1.2.1 Additional Circumstances Warranting Recusal (36-14-5002), a public official must recuse from participation in any matter if her business associate appears or presents evidence or arguments before the public official's state or municipal agency.

Any person within a public official's family includes the official's spouse and brother. <u>See</u> R.I. Gen. Laws § 36-14-2(1). A business associate is defined as "a person joined together with another person to achieve a common financial objective." § 36-14-2(3). A person is defined as "an individual or a business entity." § 36-14-2(7). A business is defined as "a sole proprietorship, partnership, firm, corporation, holding company, joint stock company, receivership, trust or any other entity recognized in law through which business for profit or not for profit is conducted." § 36-14-2(2).

### 1. Impact of dual service on the BSFD council and the charter committee.

The Ethics Commission has consistently opined that the Code of Ethics does not prohibit a public official from voting on a matter as a member of one public body and then considering and/or voting on the same matter as a member of another public body, provided that the above-cited provisions of the Code of Ethics were not otherwise implicated. For example, in Advisory Opinion 2021-37, the Ethics Commission opined that a member of the Smithfield Town Council could participate in town council discussions and voting on the disposition of certain property, a matter in which she had previously participated and voted on as a member of the Smithfield Land Trust, and which had been referred to the town council by the land trust. <u>See also</u> A.O. 2024-27 (opining that a Middletown Planning Board member was not prohibited by the Code of Ethics from participating in planning board discussions and voting on matters in which he had previously participated as a member of the Middletown Public Schools Building Committee); A.O. 2011-29 (opining that a Portsmouth Planning Board member, who was also a civil engineer for the Rhode Island Department of Transportation (DOT), could participate in and vote on a development proposal pending before the planning board, notwithstanding that in her capacity as a DOT engineer she had reviewed the same property to ensure that the state's property interests were protected); A.O. 2002-1 (opining that a Coastal Resources Management Council (CRMC) member was not prohibited by Code of Ethics from participating in the CRMC's review of a matter previously reviewed by the Newport Water Commission, on which the petitioner also served).

Accordingly, based on the Petitioner's representations, the applicable provisions of the Code of Ethics, and prior advisory opinion issued, it is the opinion of the Ethics Commission that the Petitioner is not prohibited from participating in fire district council discussions and voting on matters in which she participated as a charter committee member, provided that the Petitioner's participation in either of her public capacities would not otherwise directly financially impact her, any member within her family, her business associate, or a business by which she is employed or which she represents.

# 2. Impact of ownership by Petitioner and her family members of property within the fire district.

The Petitioner represents that she and her spouse are full-time residents of the BSFD and that she also co-owns a second home in the BSFD with her brother, who does not reside full-time in that home. They are all currently qualified as voters in the BSFD. As a result, their voting rights in the fire district could potentially be impacted by changes to the voting rights set forth in the BSFD charter.

The Ethics Commission reviewed a similar fact pattern in Advisory Opinion 2023-18 involving changes to the BSFD charter and the voting franchise. There, a legislator serving in the Rhode Island House of Representatives requested an advisory opinion regarding whether she was prohibited by the Code of Ethics from participating in the House's consideration of enacting legislation to enable the BSFD to hold an election whereby eligible voters would decide whether to approve or reject amendments to the BSFD charter proposed by a previous charter committee, given that the legislator was an eligible voter in the BSFD as both the owner of a vacation home in the BSFD and a bathhouse condominium unit at the beach club. The Ethics Commission opined that the official legislative activity contemplated by that petitioner would not impact her voting rights in the BSFD because,

although it was conceivable that the petitioner's voting rights in the BSFD might eventually be impacted, that scenario was not reasonably foreseeable. The Ethics Commission noted that even if voting rights for beach club condominium owners were eliminated, the petitioner also owned a home within the BSFD which afforded her voting rights in the BSFD, and it was therefore not reasonably foreseeable that the petitioner would not continue to meet the voting residency requirements. Also, even if the legislator's voting rights were somehow ultimately impacted, there was no financial benefit or detriment attached to her right to vote, standing alone. Finally, the Ethics Commission opined that, even if there were some financial impact attached to the petitioner's right to vote, such a financial impact would only be indirectly related to her legislative activity, given the intervening activity of the eligible BSFD voters who would decide whether to approve or reject any proposed charter amendments. Based on those reasons, the Ethics Commission concluded that the legislator was not prohibited by the Code of Ethics from participating in adopting legislation that would enable the BSFD to hold an annual meeting or special election whereby eligible voters would decide whether to approve or reject amendments to the BSFD charter.

Here, similar to the facts in Advisory Opinion 2023-18, the Petitioner, her spouse, or her brother will not be financially impacted by the proposed changes, because under each scenario they would still be allowed to vote, the Petitioner and her spouse as residents and her brother as a non-resident property owner. Further, even if it were reasonably foreseeable that the Petitioner's official activity relative to the charter changes could somehow impact her or her family members' voting rights in the BSFD, there is no financial impact attached to their right to vote, standing alone. Finally, even if there was a financial impact attached to the Petitioner's and her family members' right to vote, such a financial impact would be *indirect*, as opposed to direct, given the intervening activities required by both the General Assembly and the eligible BSFD voters who would decide whether to approve or reject the proposed amendments.

For all of the foregoing reasons, it is the opinion of the Ethics Commission that the Petitioner is not prohibited by the Code of Ethics from participating in fire district council discussions and decision-making relative to the changes to the voting rights in the BSFD, notwithstanding that she participated in the same matter as a charter committee member and notwithstanding that she and her family members own property in the fire district.

The Petitioner is advised, however, that should the circumstances change such that it does become reasonably foreseeable that she, or any person within her family, her business associate, or any business by which she is employed or which she represents would be directly financially impacted by her participation in council activities, she must recuse from participation consistent with the provisions of R.I. Gen. Laws § 36-14-6, or seek further guidance from the Ethics Commission. This Advisory Opinion is strictly limited to the facts stated herein and relates only to the application of the Rhode Island Code of Ethics. Under the Code of Ethics, advisory opinions are based on the representations made by, or on behalf of, a public official or employee and are not adversarial or investigative proceedings. Finally, this Commission offers no opinion on the effect that any other statute, regulation, ordinance, constitutional provision, charter provision, or canon of professional ethics may have on this situation.

Code Citations: § 36-14-2(1) § 36-14-2(2) § 36-14-2(3) § 36-14-2(7) § 36-14-5(a) § 36-14-5(d) § 36-14-6 § 36-14-6 § 36-14-7(a) 520-RICR-00-00-1.1.5 Reasonable Foreseeability (36-14-7001) 520-RICR-00-00-1.2.1 Additional Circumstances Warranting Recusal (36-14-5002)

Related Advisory Opinions:

A.O. 2024-27 A.O. 2023-18 A.O. 2021-37 A.O. 2011-29 A.O. 2002-1

<u>Keywords</u>: Dual Public Roles Financial Interest